

OCT 26 2004

PTO/SB/21 (09-04)


Approved for use through 07/31/2006. OMB 0651-0031


U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09.842,030	
	Filing Date	04/26/01	
	First Named Inventor	T. R. ALBRECHT et al.	
	Art Unit	2651	
	Examiner Name	A. L. Sniezek	
Total Number of Pages in This Submission	3	Attorney Docket Number	TUC920010002US1

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): RETURN OF NOTICE OF ALLOWANCE HAVING SHEETS INTERMIXED WITH ANOTHER APPLICATION
Remarks Deliver to Ex. A. L. Sniezek, Group Art 2651 IMMEDIATELY.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	IBM Corporation	
Signature		
Printed name	JOHN H. HOLCOMBE	
Date	Oct. 22, 2004	Reg. No. 20,620

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:		
Signature		
Typed or printed name	Ceil White	Date 10/22/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Art Unit: 2621

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Mr. Israel Gopstein on September 20, 2004.

In the first line of the specification insert --Notice: More than one reissue application has been filed for the reissue of US Patent No. 5,937,095. The reissues applications are application numbers 10/662,949 which is a continuation of 09/925,423 (the present application) --.

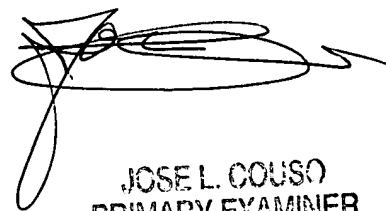
2. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jose L. Couso whose telephone number is (703) 305-4774. The examiner can normally be reached on Monday through Friday from 6:30 to 3:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Leo Boudreau, can be reached on (703) 305-4706. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

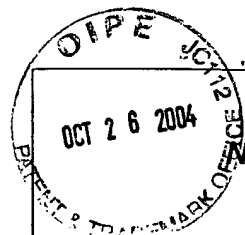
Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-8576.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Jlc  
September 20, 2004



JOSE L. COUSO  
PRIMARY EXAMINER



# Notice of Allowability

Application No.	Applicant(s)	
09/842,030	ALBRECHT ET AL.	
Examiner	Art Unit	
Andrew L. Sniezek	2651	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

- ☒ This communication is responsive to the after final amendment filed 8/30/04.
  - ☒ The allowed claim(s) is/are 1-3,5,7-41 and 44-46.
  - ☒ The drawings filed on 4/26/01 are accepted by the Examiner.
  - ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
    - ☐ All
    - ☐ Some\*
    - ☐ Noneof the:
    - ☐ Certified copies of the priority documents have been received.
    - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
    - ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
- \* Certified copies not received: \_\_\_\_\_.

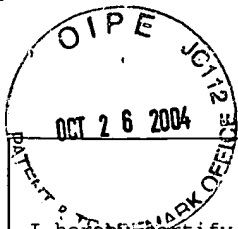
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  
**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

- ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
- ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
  - ☐ including changes required by the Notice of Draftperson's Patent Drawing Review (PTO-948) attached
    - ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
  - ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
- ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

## Attachment(s)


- ☐ Notice of References Cited (PTO-892)
- ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statements (PTO-1449 or PTO/SB/08), Paper No./Mail Date \_\_\_\_\_
- ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
- ☐ Notice of Informal Patent Application (PTO-152)
- ☐ Interview Summary (PTO-413), Paper No./Mail Date \_\_\_\_\_
- ☐ Examiner's Amendment/Comment
- ☐ Examiner's Statement of Reasons for Allowance
- ☐ Other \_\_\_\_\_

*Andrew L. Sniezek*  
Andrew L. Sniezek  
Primary Examiner  
Art Unit: 2651



CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 10/22/04.

  
Ceil White

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/842,030 Confirmation No. 6914  
Applicant : T. R. Albrecht et al.  
Filed : 04/26/2001  
TC/A.U. : 2651  
Examiner : A. L. Sniezek  
  
Docket No. : TUC920010002US1

Mail Stop: **AF**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RETURN OF NOTICE OF ALLOWANCE HAVING SHEETS INTERMIXED WITH  
ANOTHER APPLICATION

Sir:

On the instruction of Examiner Jose L. Couso on October 22, 2004, the Notice of Allowance dated October 12, 2004, for the subject Application as received by Attorney for Applicants is being returned to Examiner Andrew L. Sniezek.

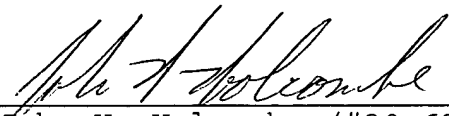
The Notice of Allowance received by Attorney for Applicants comprised 5 sheets relating to the subject Application and one sheet relating to another Application of Examiner Couso.

Attorney for Applicants has copied only the 5 sheets relating to the subject Application.

Appl. No.: 09/842,030  
Paper Dated: October 22, 2004  
Return of Notice of Allowance of 10/12/04

Attorney for Applicants hereby requests a replacement Notice of Allowance for the subject Application.

Respectfully submitted,  
T. R. Albrecht et al.

By:   
John H. Holcombe, (#20,620)  
Attorney for Applicants

From: IBM Corporation  
Intellectual Property Law  
8987 E. Tanque Verde Rd. #309-374  
Tucson, AZ 85749-9610  
Telephone: (520) 760-6629

JHH/cw